

# Cumulene Rotaxanes: Stabilization and Study of [9]Cumulenes\*\*

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**Abstract:** The stabilization of long  $[n]$ cumulenes has traditionally been achieved by placing sterically bulky “protecting groups” at the termini, which shield the reactive carbon chain from unwanted reactions. Herein, we present an alternative strategy: stabilization through threading the  $sp$ -hybridized carbon chain through a phenanthroline-based macrocycle. The result is stable [9]cumulene rotaxanes that enable the study of properties as a function of length for  $[n]$ cumulenes in unprecedented detail, including by quantitative UV/Vis spectroscopy, cyclic voltammetry, and differential scanning calorimetry. The experimental results are supported by DFT calculations.

With the explosion in studies of graphene, fullerenes, and carbon nanotubes, it might seem that interest in carbon allotropes is a relatively new phenomenon.<sup>[1]</sup> As in the case of many “hot topics”, however, there is ample precedent in the literature that such ideas were spawned earlier, although the headlines may not be quite as enticing as they are nowadays. Such is the case with molecules composed of  $sp$ -hybridized carbon atoms, namely polyynes and cumulenes, as models for the allotrope carbyne. The chemistry of polyynes expanded dramatically in the 1950s and continues to the present day.<sup>[2–5]</sup> The synthesis of cumulenes, on the other hand, appeared in the 1930s<sup>[6,7]</sup> and also took off in the 1950s.<sup>[8–14]</sup> In 1964, Fischer summarized the results to that point for the handful of known [7]- and [9]cumulenes, concluding that “None of them could be isolated in pure state owing to their instability”.<sup>[8,11]</sup>

Early synthetic work predicted difficulties when assembling “long” cumulenes, similar to the problems encountered in the synthesis of polyynes.<sup>[15]</sup> As for polyynes,<sup>[2–5]</sup> the incorporation of sterically demanding end groups has recently facilitated the synthesis of [7]- and [9]cumulenes (Figure 1),

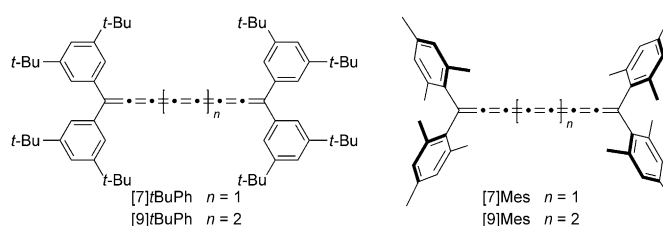


Figure 1. Structures of [7]tBuPh, [9]tBuPh, [7]Mes, and [9]Mes.

and crystallographic analysis could be done for all but [9]tBuPh.<sup>[10]</sup> [9]Cumulenes are, however, difficult to handle in solution, thus precluding the analysis of many properties. The use of sterically shielding end groups to stabilize cumulenes has seemingly reached a practical limit. An alternative stabilization strategy would be to encapsulate the cumulene core, much like insulation encases a metal wire.<sup>[16]</sup> This approach has been successful for polyynes<sup>[17,18]</sup> and other systems<sup>[19]</sup> through the formation of mechanically interlocked rotaxanes.

In this report, we outline a new method for the kinetic stabilization of [9]cumulenes through the synthesis of rotaxanes. The solution- and solid-state stability of the products makes possible, for the first time, the analysis of [9]cumulenes by quantitative UV/Vis spectroscopy and cyclic voltammetry, as well as differential scanning calorimetry.

The synthesis of cumulene rotaxanes required tetrayne precursors **3a**, **b**, which were assembled by using the phenanthroline macrocycles **1a**<sup>[17b]</sup> and **1b**,<sup>[20]</sup> respectively (Scheme 1). The homocoupling reaction of diyne **2a** in the presence of **1a**-Cu gave **3a** in good yield (67%). The use of a smaller macrocycle **1b**-Cu and diyne **2a** also gave the desired rotaxane (**3b**), but in disappointingly low yield (5%). A Cadiot–Chodkiewicz heterocoupling<sup>[21]</sup> with bromoalkyne **2b** and **2a** in the presence of Cu complex **1a**-Cu was slightly more efficient, giving rotaxane **3a** in 74% yield. The same protocol with complex **1b**-Cu, however, gave only a low yield of the rotaxane **3b** (5%).<sup>[22]</sup>

The synthesis of [9]cumulene rotaxanes **4a**, **b** was completed through reductive elimination with anhydrous SnCl<sub>2</sub> in the presence of HCl (Scheme 2). The reaction solution rapidly turned blue and the formation of **4a** and **4b** was judged

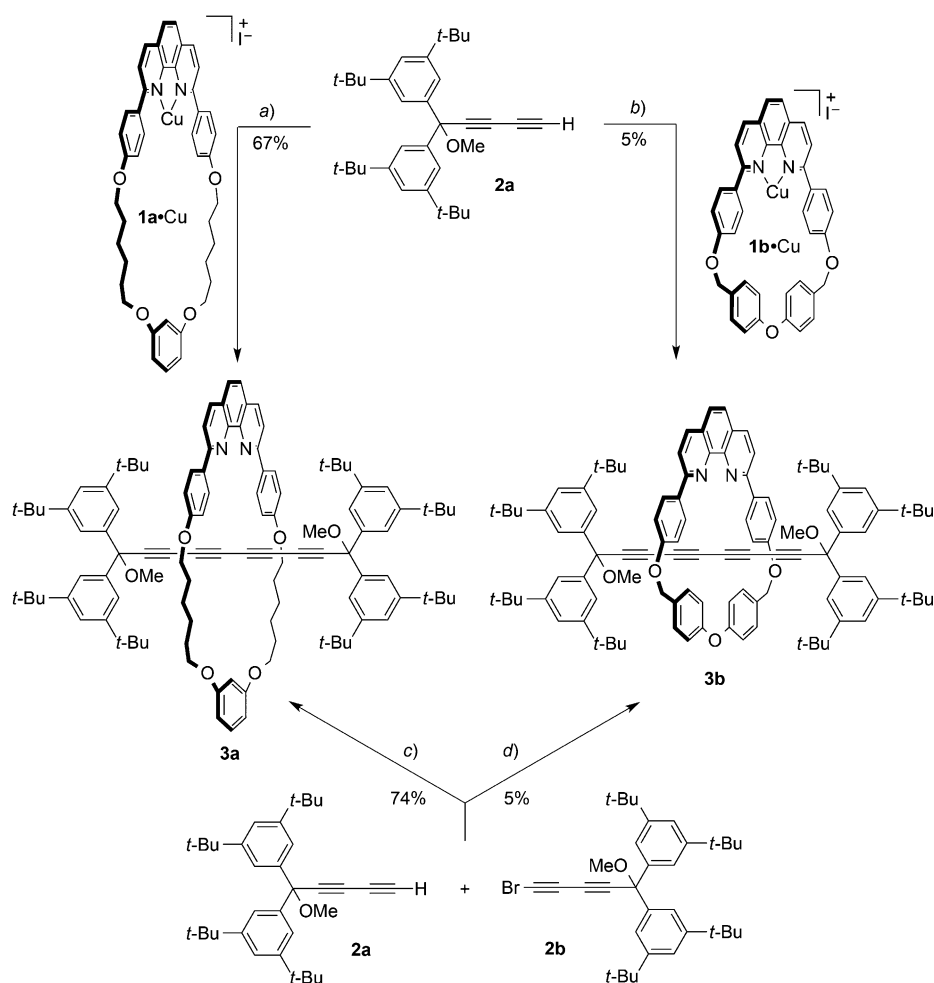
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**Scheme 1.** Synthesis of rotaxanes **3a** and **3b**. Reagents and conditions: a) **1a**·Cu, K<sub>2</sub>CO<sub>3</sub>, I<sub>2</sub>, THF, 60 °C, 4–24 h. b) **1b**·Cu, K<sub>2</sub>CO<sub>3</sub>, I<sub>2</sub>, THF, 60 °C, 45–65 h. c) **1a**·Cu, K<sub>2</sub>CO<sub>3</sub>, THF, 60 °C, 4 h. d) **1b**·Cu, K<sub>2</sub>CO<sub>3</sub>, THF, 60 °C, 65 h. THF = tetrahydrofuran.

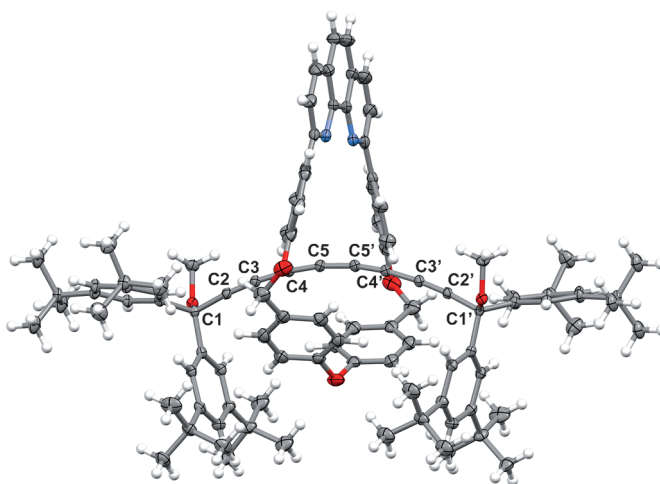
complete within 1 h. The solutions were neutralized by filtration through basic alumina, and pure **4a** and **4b** were obtained through recrystallization.

Single crystals of **3b** have been analyzed by X-ray crystallography (Figure 2 and Figure S1 in the Supporting Information). The solid-state structure confirms the threading of the axle through macrocycle **1b**. The solid-state structure also offers an explanation for the low yields achieved with **1b**: the steric demands observed for the product are likely reflected in the formation of **3b**, which lowers the yield of the desired rotaxane.

It should be noted in the structure of **3b** that the polyyne chain bends as it threads, with bond angles from 170.9° to 174.2°, [23] and the bending appears to be due to dispersive/van der Waals forces. For example, the electron-deficient polyyne chain is closely embraced by the electron-rich diaryl ether unit; the closest distance between the polyyne and the sp<sup>2</sup>-hybridized carbon atoms of the diaryl ether is 3.42 Å, less than twice the van der Waals radius (ca. 1.75 Å). [24] The polyyne also makes close contacts with the electron-rich aryl groups bonded to the phenanthroline unit (shortest distance 3.40 Å), and the closest contact between the C–H groups of

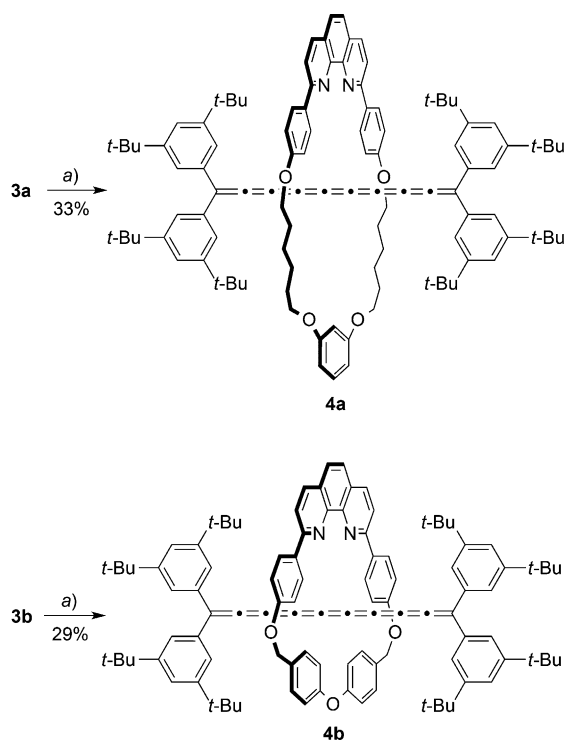
these aryl moieties and the polyyne carbon atoms is 2.82 Å, consistent with C–H/π interactions. [25] Finally, C–H/π attractions between the *tert*-butyl groups and the aryl groups of the rotaxane ring also appear to play a role. DFT calculations confirm the influence of dispersive interactions (see the Supporting Information for computational details) since calculations for **3b** that do not take into account van der Waals interactions lead to a linear conformation of the polyyne. Upon the inclusion of dispersion interactions in the calculations, however, the polyyne chain assumes a bent geometry. Calculations for the cumulene rotaxane **4b** predict analogous behavior, i.e., bending of the cumulene chain owing to van der Waals interactions.

The persistence (kinetic stability) of [9]cumulene rotaxanes **4a** and **4b** in solution and as solids raised one of the most interesting questions, since neither [9]*t*BuPh nor [9]Mes are stable. Oxygen-free solutions of rotaxane **4a** and the [9]cumulene [9]*t*BuPh in Et<sub>2</sub>O were kept under argon at room temperature and compared. The blue solution of [9]*t*BuPh decolor-



**Figure 2.** ORTEP of **3b**. Selected bond angles [°]: C2–C3–C4 174.2(2), C3–C4–C5 172.7(2), C4–C5–C5' 170.89(13).

ized within 3 h under exposure to ambient light and overnight if kept in the dark. The analogous solution of **4a** maintained a blue color for about one week under ambient light and for



**Scheme 2.** Synthesis of [9]cumulene rotaxanes **4a** and **4b**. Reagents and conditions: a)  $\text{SnCl}_2$  (anhydrous),  $\text{HCl}$  (1 M in  $\text{Et}_2\text{O}$ ),  $\text{Et}_2\text{O}$ , Ar, room temperature.

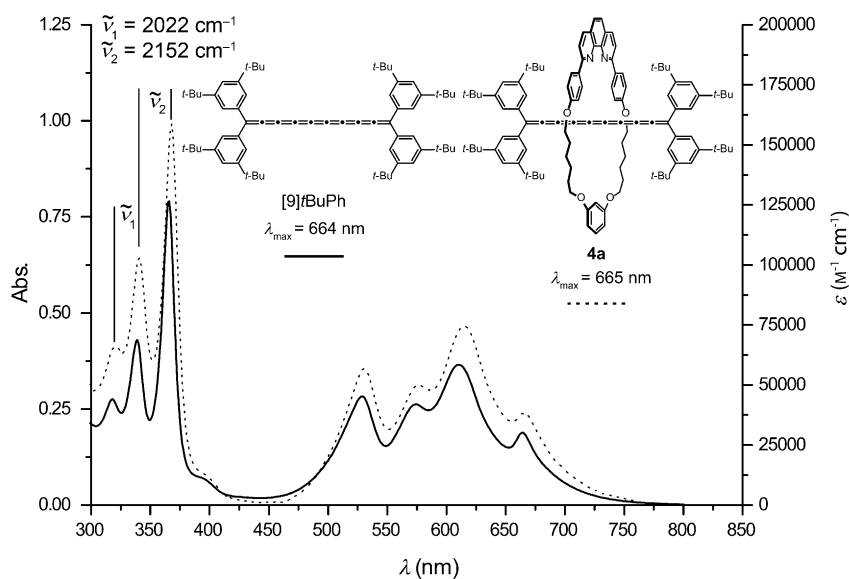
several weeks in the dark. Rotaxanes **4a** and **4b** could be purified by recrystallization from solutions of  $\text{CH}_2\text{Cl}_2/\text{MeOH}$ , and both are stable indefinitely in the crystalline form.<sup>[26]</sup>

With respect to thermal stability, [5]cumulenes typically show melting points of more than  $225^\circ\text{C}$ ,<sup>[14]</sup> while the [7]cumulene [7]*t*BuPh shows a decomposition point of  $160\text{--}162^\circ\text{C}$  (in an open capillary tube).<sup>[10]</sup> Differential scanning calorimetry (DSC) analysis of [7]*t*BuPh showed an exotherm from decomposition, with onset at  $187^\circ\text{C}$  (peak  $215^\circ\text{C}$ ). DSC analysis of **4a** showed no melting point but a sharp exotherm from decomposition (onset  $170^\circ\text{C}$ , maxima at  $177^\circ\text{C}$  and  $192^\circ\text{C}$ ).<sup>[27]</sup> The thermal stability of [7]*t*BuPh and **4a** is thus comparable, in spite of the longer conjugated framework of the latter.

The UV/Vis spectra of [9]*t*BuPh and **4a** show similar absorption features (Figure 3) and the lowest energy absorptions ( $\lambda_{\text{max}} = 664$  and  $665\text{ nm}$ ) are essentially the same.<sup>[28]</sup> According to DFT calculations for [9]*t*BuPh, the  $\lambda_{\text{max}}$  absorptions are dominated by the HOMO–LUMO transition. The broadened absorption pattern and vibrational structure observed for the  $\lambda_{\text{max}}$  absorptions is clarified by analysis of the frontier molecular orbitals (FMOs), in which involvement of the aryl rings in the

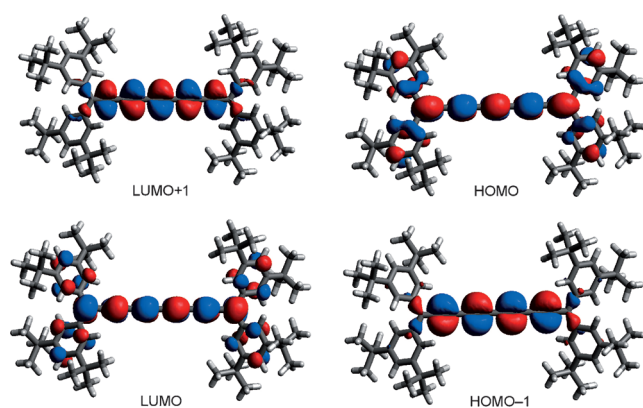
composition of the HOMO and LUMO (formed from the out-of-plane  $\pi$  system) is clearly observed (Figure 4). Molar absorptivities for [9]cumulenes have not been previously determined owing to instability of the products. From the spectrum in Figure 3, however, it is clear that **4a** shows significant absorption values, especially in the UV region. A series of three intense signals is observed for **4a** at 319, 341, and  $368\text{ nm}$  ( $\epsilon_{368} = 159\,000$ ). Interestingly, both the intensity and the vibrational pattern ( $\tilde{\nu}_1 = 2022$ ,  $\tilde{\nu}_2 = 2152\text{ cm}^{-1}$ ) of the UV signals for **4a** are analogous to those found for tetraynes.<sup>[29]</sup> The similarities are explained by DFT calculations performed on [9]*t*BuPh, which showed that these UV absorptions arise mostly from the in-plane  $\pi$  system constructed from the eight  $\text{sp}$ -hybridized carbon atoms of the cumulene chain. This absorption is dominated by HOMO–1 to LUMO+1 transitions (Figure 4) and the related vibrational levels.

Cyclic voltammetry (CV) offers a detailed analysis of the electronic make-up of the cumulene framework, and the series of stable cumulenes  $[n]$ *t*BuPh, including [9]cumulene **4a**, gives rather surprising results (Table 1 and Figure S19). First, the redox events for all of the cumulenes are reversible or quasi-reversible, except for the oxidation of **4a**, which is undoubtedly rendered irreversible by the presence of the phenanthroline unit.<sup>[30]</sup> The reversible behavior is striking<sup>[31]</sup> given that CV analysis of polyyenes shows mostly irreversibly events.<sup>[32]</sup> Notably, the first oxidation potential varies little as a function of cumulene length, while the first reduction



**Figure 3.** UV/Vis spectra of the naked [9]cumulene [9]*t*BuPh (qualitative) and [9]cumulene rotaxane **4a** (quantitative), measured in  $\text{Et}_2\text{O}$ .

potential decreases from  $-2.18\text{ V}$  for [3]*t*BuPh to  $-1.20\text{ V}$  for **4a**. These data suggest that only the LUMO energy is affected by the molecular length. In fact, DFT calculations confirm that the energy of the HOMO is less affected by variation in the chain length than the energy of the LUMO (Table 1). This can be rationalized qualitatively by a simple “particle in a box” model for the cumulene  $\pi$  electrons.<sup>[33,34]</sup> The energy



**Figure 4.** FMOs for [9]tBuPh based on DFT calculations. The HOMO and LUMO are formed by the out-of-plane  $\pi$  system with contributions from the terminal aryl rings. The HOMO–1 and LUMO+1 are formed by the in-plane  $\pi$  system and do not extend to the  $\pi$  system of the terminal aryl rings.

**Table 1:** Properties of selected [n]cumulenes.

Compound	$E_{\text{red1}}^{[a]}$ [V]	$E_{\text{red2}}^{[a]}$ [V]	$E_{\text{ox1}}^{[a]}$ [V]	$E_{\text{ox2}}^{[a]}$ [V]	$\epsilon_{\text{HOMO}}^{[b]}$ [eV]	$\epsilon_{\text{LUMO}}^{[b]}$ [eV]	$\Delta\epsilon^{[b]}$ [eV]	$E_{\text{g,el}}^{[c]}$ [eV]	$E_{\text{g,opt}}^{[d]}$ [eV]	$E_{\text{g}}^{[e]}$ [eV]
[3]tBuPh	–2.18		0.49	0.95	–4.99	–2.07	2.92	2.67	2.64 (CHCl <sub>3</sub> )	2.68
[5]tBuPh	–1.72	–2.19	0.43	0.80	–4.89	–2.48	2.41	2.15	2.25 (CHCl <sub>3</sub> )	2.16
[7]tBuPh	–1.37	–1.72	0.42	0.68	–4.87	–2.76	2.11	1.79	1.97 (CHCl <sub>3</sub> )	1.86
<b>4a</b>	–1.20	–1.56	0.42 <sup>[f]</sup>		–4.86	–2.97	1.89	1.62	1.74 (Et <sub>2</sub> O)	1.63

[a] Cyclic voltammetry in CH<sub>2</sub>Cl<sub>2</sub> solutions with ferrocene/ferrocenium (Fc/Fc<sup>+</sup>) couple as reference (see the Supporting Information). [b] Orbital energies calculated at the B3LYP level (see the Supporting Information); [9]tBuPh was considered as a model for **4a**. [c] Electrochemical gaps determined by  $E_{\text{g,el}} = E_{\text{ox1}} - E_{\text{red1}}$ . [d] Estimated optical gaps from solution-state UV/Vis spectra, based on the intercept of a tangent line applied to the lower edge of the longest wavelength absorption and the x-axis. [e] Gaps calculated at the B3LYP level as the difference of twice the total energy of the neutral molecule minus the corresponding energies of the cation and the anion. [f] Irreversible, estimated from peak potential.

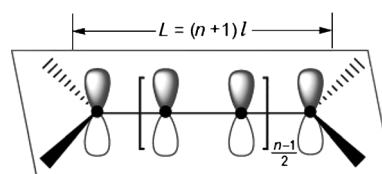
levels,  $\epsilon$ , of an electron in a one-dimensional box are given by (in atomic units) Equation (1), where  $m$  is the quantum

$$\epsilon = m^2 / (8L^2) \quad (1)$$

number of the states (orbitals) and  $L$  the box length. In a [n]cumulene, the out-of-plane  $\pi$  system forms a box of length  $L = (n+1)l$  (Figure 5), in which  $l$  is the mean C–C bond length of the cumulene chain. In the [n]cumulenes,  $n+1$  electrons occupy the out-of-plane  $\pi$  system and the HOMO therefore corresponds to  $m = (n+1)/2$ . Inserting  $L = (n+1)l$  and  $m = (n+1)/2$  into Equation (1) for the energy levels leads to the HOMO energy as given in Equation (2).

$$\epsilon_{\text{HOMO}} = 1 / (32l^2) \quad (2)$$

The energy of the HOMO is thus independent of the number of double bonds  $n$ . The LUMO corresponds to  $m = [(n+1)/2] + 1$  and the energy  $\epsilon_{\text{LUMO}}$  thus decreases with increasing length  $n$  (Equation (3)).



**Figure 5.** Schematic representation of a [n]cumulene. The out-of-plane  $\pi$  system contains  $n+1$   $\pi$  electrons and its length  $L$  can be estimated as  $L = n \cdot l + l = (n+1)l$ , accounting for the fact that the  $\pi$  system extends somewhat over the terminal C atoms ( $n=3, 5, 7, 9, \dots$ ).

$$\epsilon_{\text{LUMO}} = 1 / (32l^2) (1 + [4 / (n+1)] + 4 / (n+1)^2) \quad (3)$$

The HOMO–LUMO gaps from the DFT calculations (Table 1,  $\Delta\epsilon$ ) closely mimic the electrochemically measured gaps for the [n]cumulenes ( $E_{\text{g,el}}$ ), although they are consistently larger by about 0.3 eV. More accurate gaps ( $E_{\text{g}}$ ) could

be calculated as the difference of twice the total electronic energy of the neutral molecule minus the corresponding energies of the cation and the anion.

To summarize, we have stabilized the typically reactive framework of [9]cumulenes by using two different macrocycles to give cumulene rotaxanes **4a** and **4b**. CV experiments for the series of [n]tBuPh cumulenes ( $n=3, 5, 7$ ) and [9]cumulene rotaxane **4a** show that the LUMO energy decreases dramatically with cumulene length ( $n$ ), while the energy of the HOMO stays approximately constant. The experimental results are in very good agreement with the DFT calculations.

**Keywords:** carbynes · cumulenes · dispersion forces · electrochemistry · rotaxanes

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